



# A Multiscale and Thermomechanical Modeling of Shape Memory Alloys using CAST3M

PhD student (Reporter): **Xiaofei JU** ([xiaofei.ju@ensta-paris.fr](mailto:xiaofei.ju@ensta-paris.fr))

Supervisor: **Ziad MOUMNI** ([ziad.moumni@ensta-paris.fr](mailto:ziad.moumni@ensta-paris.fr))

# Contents

1

## Backgrounds

---

2

## Constitutive Equations

---

3

## Numerical Implementation

---

4

## Selected Results

---

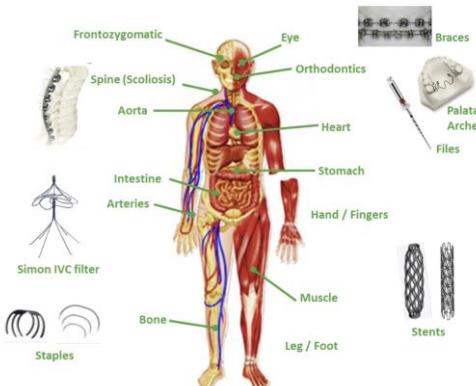
# Backgrounds-NiTi Shape Memory Alloys (SMAs)

## ■ Unique Properties

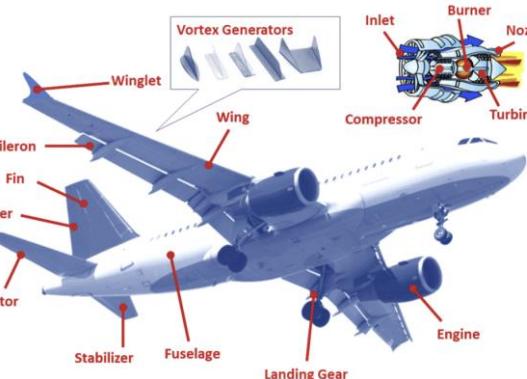
**Shape Memory Effect:** Recover their shape by simple heating after being inelastically strained

**Pseudoelasticity:** Accommodate large recoverable inelastic strains (6-8%)

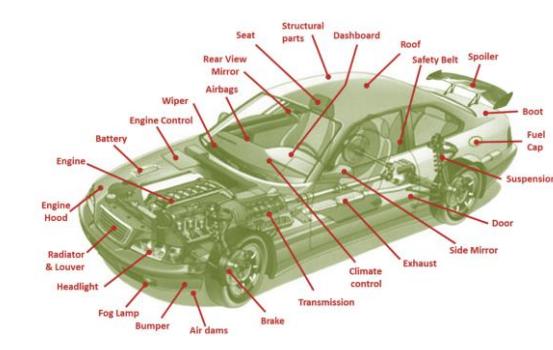
## ■ Applications



Biomedical



Aerospace



Automotive



Robotic

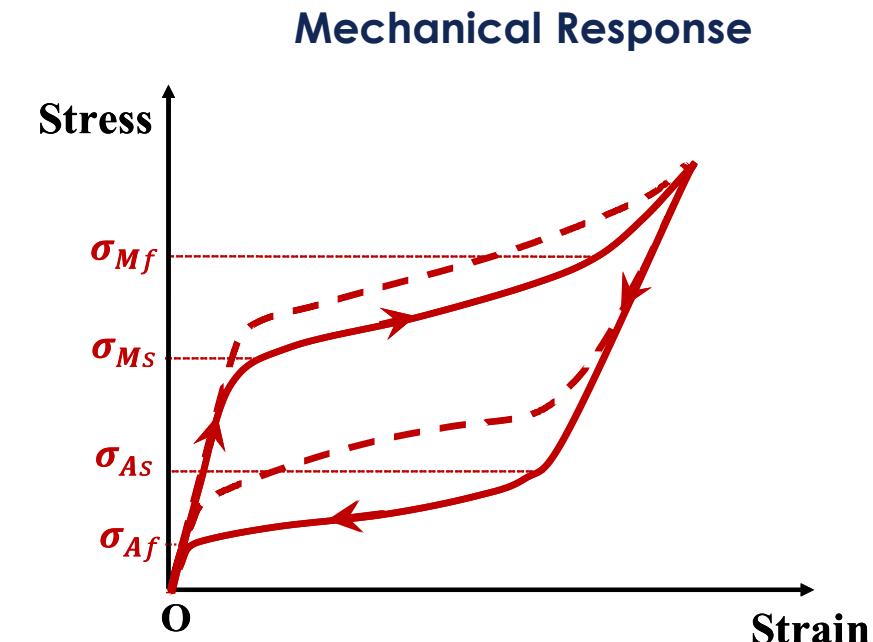
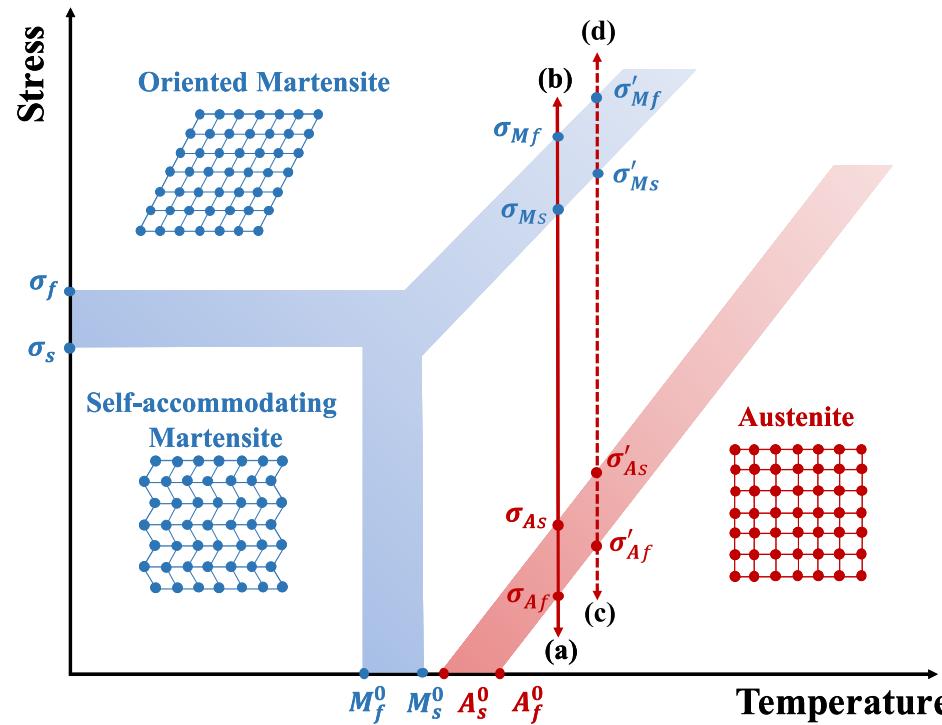
- **Promising Material** in Applications of Biomedical, Aerospace...
- Safety Problem Related with **Cycle Fatigue Issue**
- A **Micromechanical-based Model** Required for Fatigue Analysis

# Backgrounds – Inelastic Mechanisms

## ■ Martensitic Transformation

A solid-solid **phase change** between cubic **austenite** and **martensitic** phase

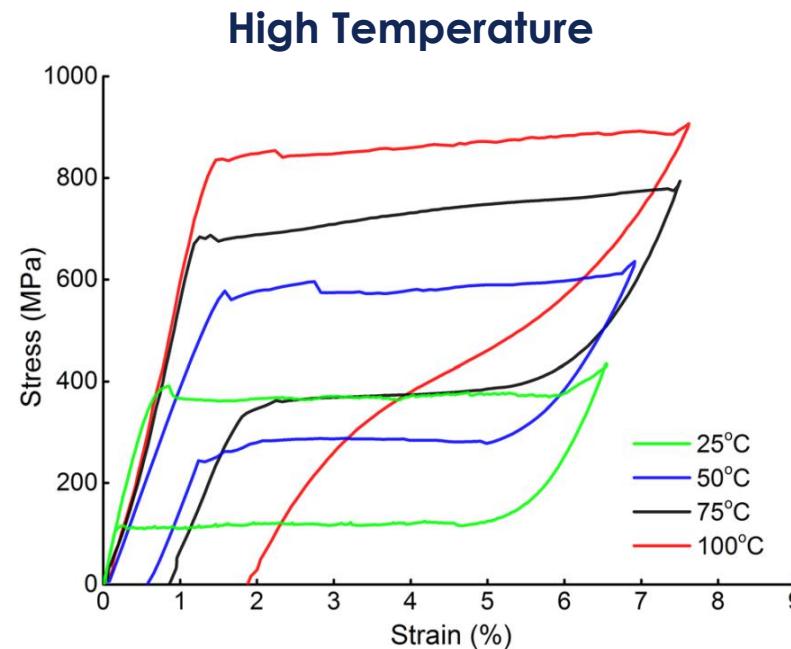
Pseudoelasticity:  $T > A_f^0$



## ■ Thermomechanical Coupling Effect

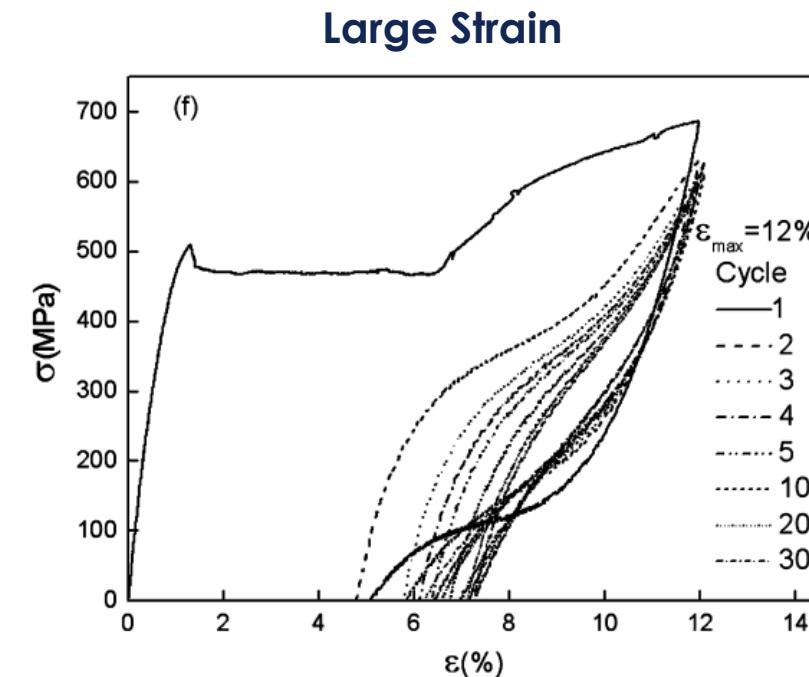
# Backgrounds – Inelastic Mechanisms

## ■ Deformation Slip in Austenite



Xiao Y, Zeng P, Lei L, et al. Shape Memory and Superelasticity, 2015

## ■ Deformation Twinning in Martensite



Wang X, Xu B, Yue Z. Journal of Alloys and Compounds, 2008

# Backgrounds – Inelastic Mechanisms

## ■ Transformation-induced Plasticity (TRIP)

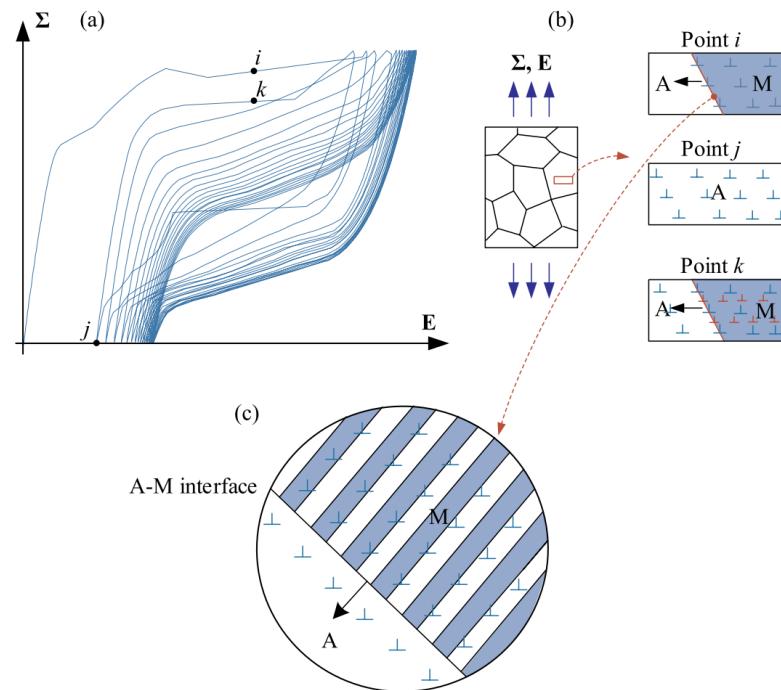


Fig. 1. Schematic of TRIP deformation,  $\Sigma$  and  $E$  are global stress and strain, A and M represent austenite and martensite phases: (a) macroscopic stress-strain response; (b) the accumulation of dislocations at mesoscopic scale; (c) at microscopic scale, generation of the dislocation slip is located in austenite phase in front of A-M interface in order to achieve compatibility (Paranjape et al., 2017; Sittner et al., 2018; Heller et al., 2018).

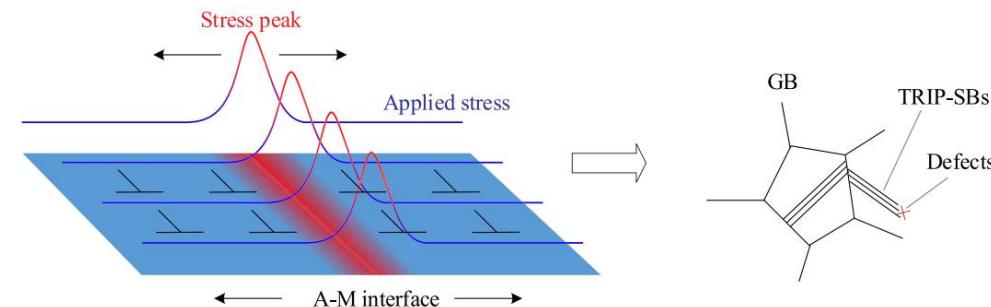


Fig. 2. Schematic of stress peak in A-M interface initiating fatigue cracks through interactions between TRIP-SBs and grain boundary (GB), or other defects inside the material.

# Motivations - Originality

**Table 1**

Summary of micromechanical constitutive models.

Models	Features						
		A	B	C	D	E	F
Thamburaja and Anand (2001)	✓	✓					
Lim and McDowell (2002)		✓				✓	
Anand and Gurtin (2003)	✓	✓				✓	
Thamburaja (2005)	✓	✓					
Wang et al. (2008b)		✓		✓			
Manchiraju and Anderson (2010)	✓	✓	✓				
Richards et al. (2013)		✓	✓				✓
Mirzaeifar et al. (2013)		✓				✓	
Yu et al. (2013, 2015c)		✓			✓		✓
Yu et al. (2014a)		✓	✓	✓			✓
Yu et al. (2014b)		✓			✓		✓
Yu et al. (2014c)		✓			✓	✓	✓
Yu et al. (2015a)		✓	✓		✓		✓
Paranjape et al. (2016)	✓	✓	✓				
Xiao et al. (2018)		✓			✓	✓	✓
Paranjape et al. (2018)	✓	✓					
Yu et al. (2018)		✓				✓	
Dhala et al. (2019)	✓	✓	✓	✓			
Xie et al. (2019)		✓	✓				✓
Xie et al. (2020)		✓	✓			✓	✓
Ebrahimi et al. (2020)		✓			✓		✓
Hossain and Baxevanis (2021)	✓	✓	✓		✓	✓	
Xu et al. (2021)		✓	✓	✓	✓	✓	✓
<b>Present work</b>	✓	✓	✓	✓	✓	✓	✓

Notes: A: phase transformation; B: deformation slip in austenite; C: deformation twinning in martensite; D: TRIP; E: thermomechanical coupling effect; F: cyclic loading (cycling up to the shakedown (stabilized) state).

# Contents

1

**Backgrounds**

---

2

**Constitutive Equations**

---

3

**Numerical Implementation**

---

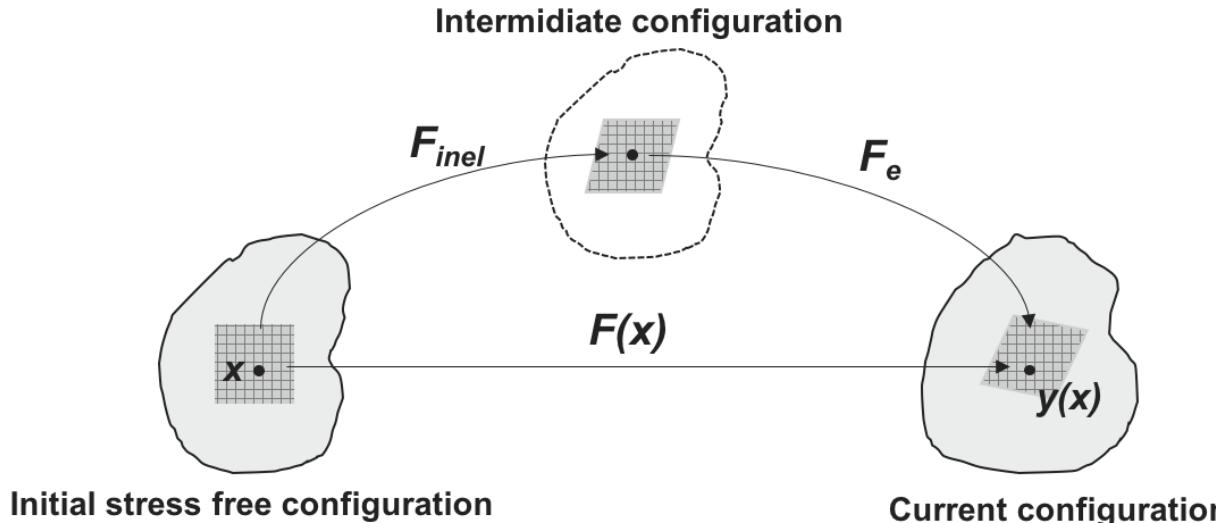
4

**Selected Results**

---

# Constitutive Equations

## ■ Main Equations



- **Deformation Gradient  $F$**

$$F = F_e F_{inel}$$

- **Elastic Green Strain  $E_e$**

$$E_e = \frac{1}{2} (U_e^2 - I) \quad \text{while} \quad F_e = R_e U_e$$

- **Hooke's Law**

$$T = \mathbb{C} : E_e$$

- **Cauchy Stress  $\sigma$**

$$\sigma = \frac{1}{\det(F_e)} F_e T F_e^T$$

- **Velocity Gradient  $L$**

$$L = \dot{F} F^{-1} = L_e + F_e L_{inel} F_e^{-1}$$

- **Inelastic Part of  $L$**

$$L_{inel} \approx L_p^A + L_{tr} + L_{trip} + L_p^M$$

# Constitutive Equations

## ■ Main Equations

$$\mathbf{L}_{inel} \approx \mathbf{L}_p^A + \mathbf{L}_{tr} + \mathbf{L}_{trip} + \mathbf{L}_p^M$$

- $\boxed{\mathbf{L}_p^A = (1 - \xi) \sum_{\alpha=1}^{24} \dot{\gamma}_A^{(\alpha)} \mathbf{S}_p^{(\alpha)}}$

- $\boxed{\mathbf{L}_{tr} = \sum_{i=1}^{24} \dot{\xi}^{(i)} g_{tr} \mathbf{S}_{tr}^{(i)}}$

- $\boxed{\mathbf{L}_{trip} = (1 - \xi) \sum_{\alpha=1}^{24} \dot{\gamma}_{trip}^{(\alpha)} \mathbf{S}_p^{(\alpha)}}$

- $\boxed{\mathbf{L}_p^M = \xi \sum_{t=1}^{11} \dot{\gamma}_{tw}^{(t)} \mathbf{S}_{tw}^{(t)}}$

## • Orientation Tensor

$$\mathbf{S}_p^{(\alpha)} = \mathbf{m}_0^{(\alpha)} \otimes \mathbf{n}_0^{(\alpha)}$$

$$\mathbf{S}_{tr}^{(i)} = \mathbf{b}_0^{(i)} \otimes \mathbf{d}_0^{(i)}$$

$$\mathbf{S}_{tw}^{(t)} = \mathbf{b}_0^{tw(t)} \otimes \mathbf{d}_0^{tw(t)}$$

## ■ State Variables

$$E_e, \xi^{(i)}, \theta, \dot{\gamma}_A^{(\alpha)}, \dot{\gamma}_{tw}^{(t)}, \dot{\gamma}_{trip}^{(\alpha)}, \mathbf{B}_{int}$$

# Constitutive relations

## ■ Helmholtz free energy density

$$\psi(\mathbf{E}_e, \xi^{(i)}, \theta) = \psi_e + \psi_\theta + \psi_{int} + \psi_p + \psi_{trans} + \psi_{cst}$$

where,

$$\psi_e = \frac{1}{2} \mathbf{E}_e : \mathbb{C} : \mathbf{E}_e$$

$$\dot{\psi}_p = (1 - \xi) \sum_{\alpha=1}^{24} g_A^{(\alpha)} |\dot{\gamma}_A^{(\alpha)}| + \xi \sum_{t=1}^{11} g_{tw}^{(t)} (\dot{\gamma}_{tw}^{(t)})$$

$$\psi_\theta = C \left[ (\theta - \theta_0) - \theta \ln \frac{\theta}{\theta_0} \right] + \mu(\theta - \theta_0)\xi$$

$$\psi_{trans} = \frac{1}{2} G \xi^2 + \frac{1}{2} \beta g_{tr} \xi (1 - \xi)$$

$$\dot{\psi}_{int} = -\mathbf{B}_{int} : (\mathbf{L}_{tr} + \mathbf{L}_{trip})$$

$$\psi_{cst} = -w_0(1 - \xi) - \sum_{i=1}^{N_T} w_i \xi^{(i)}$$

# Constitutive relations

## ■ Evolution laws

### □ Plasticity in austenite

$$\dot{\gamma}_A^{(\alpha)} = \dot{\gamma}_A^0 \left| \frac{\tau_A^{(\alpha)}}{g_A^{(\alpha)}} \right|^{\frac{1}{m_A}} \operatorname{sign} \left( \tau_A^{(\alpha)} \right), \text{ and } \tau_A^{(\alpha)} = \mathbf{M} : \mathbf{S}_p^{(\alpha)}$$

$$\dot{g}_A^{(\alpha)} = \sum_{\beta=1}^{24} h_A^{\alpha\beta} \left| \dot{\gamma}_A^{(\beta)} \right|$$

### □ Plasticity in martensite

$$\dot{\gamma}_{tw}^{(t)} = \begin{cases} \dot{\gamma}_{tw}^0 \left( \frac{\tau_{tw}^{(t)}}{g_{tw}^{(t)}} \right)^{\frac{1}{m_{tw}}}, & \tau_{tw}^{(t)} > 0, \text{ and } \tau_{tw}^{(t)} = \mathbf{M} : \mathbf{S}_{tw}^{(t)} \\ 0, & \tau_{tw}^{(t)} \leq 0 \end{cases}$$

$$\dot{g}_{tw}^{(t)} = \sum_{s=1}^{11} h_{tw}^{ts} \dot{\gamma}_{tw}^{(s)}$$

### □ Transformation induced plasticity (TRIP)

$$\dot{\gamma}_{trip}^{(\alpha)} = \begin{cases} \frac{\gamma_{sat}}{b_1} e^{-\frac{\xi c}{b_1}} |\dot{\xi}| \operatorname{sign} \left( f_{trip}^{(\alpha)} \right) & \text{when } SF_{plastic}^{(\alpha)} > SF_{critical} \\ 0 & \text{otherwise} \end{cases}$$

### □ Transformation

#### ➤ Transformation criteria

$$\mathcal{F}_{AM}^{(i)} = f_{tr}^{(i)} - f_c^{(i)} = 0 \quad \text{Forward transformation}$$

$$\mathcal{F}_{MA}^{(i)} = f_{tr}^{(i)} + f_c^{(i)} = 0 \quad \text{Reverse transformation}$$

#### ➤ Consistency conditions

$$\mathcal{F}_{AM}^{(i)} = 0 \text{ and } \dot{\mathcal{F}}_{AM}^{(i)} = 0 \Rightarrow \dot{\xi} > 0 \quad \text{Forward transformation}$$

$$\mathcal{F}_{MA}^{(i)} = 0 \text{ and } \dot{\mathcal{F}}_{MA}^{(i)} = 0 \Rightarrow \dot{\xi} < 0 \quad \text{Reverse transformation}$$

# Constitutive relations

## ■ Evolution laws

### □ Internal variables related with cyclic degradation

$$\dot{\xi}_{ua}^{(i)} = \frac{\xi_{ua}^{sat}}{b_3} e^{-\frac{\xi_c}{b_3} |\dot{\xi}^{(i)}|}$$

$$\dot{\xi}_{rm}^{(i)} = \frac{\xi_{rm}^{sat}}{b_4} e^{-\frac{\xi_c}{b_4} |\dot{\xi}^{(i)}|}$$

$$\|\dot{\mathbf{B}}_{int}^{(i)}\| = \frac{B_{sat}}{b_2} e^{-\frac{\xi_c}{b_2} \dot{\xi}_c}$$

$$\dot{f}_c^{(i)} = \frac{(f_{c\_sat}^{(i)} - f_{c\_0}^{(i)})}{b_5} e^{-\frac{\xi_c}{b_5} |\dot{\xi}|}$$

$$\dot{G} = \frac{(G^{sat} - G^0)}{b_6} e^{-\frac{\xi_c}{b_6} |\dot{\xi}|}$$

### □ Dislocation density and stored energy

$$\rho_{tot} = (1 - \xi) \rho_A + \xi \cdot \xi_{tw} \cdot \rho_M,$$

$$E_{st} \approx \rho_{tot} E_{dis} \approx \frac{1}{2} \rho_{tot} G_{shear} b^2$$

$$\dot{\rho}_A^{(\alpha)} = c_1 (\sqrt{\sum_{\alpha=1}^{24} \rho_A^{(\alpha)}} - c_2 \rho_A^{(\alpha)}) (|\dot{\gamma}_A^{(\alpha)}| + |\dot{\gamma}_{trip}^{(\alpha)}|)$$

$$\dot{\rho}_M^{(t)} = c_3 (\sqrt{\sum_{t=1}^{11} \rho_M^{(t)}} - c_4 \rho_M^{(t)}) (|\dot{\gamma}_{tw}^{(t)}|)$$

# Contents

1

**Backgrounds**

---

2

**Constitutive Equations**

---

3

**Numerical Implementation**

---

4

**Selected Results**

---

# Numerical Implementation

## ■ Subroutine Interface

```
mo_util = MODE 'MECANIQUE' 'ELASTIQUE' 'ORTHOTROPE' 'NON_LINEAIRE' 'UTILISATEUR' 'NOM_LOI' 'cp'  
'C_MATERIAU' LCMAT 'C_VARINTER' LCVAR ;
```

'C\_MATERIAU' – give access to the list of names associated with the material properties, LCMAT

'C\_VARINTER' – give access to the list of names associated with the material's internal variables, LCVAR

Demanded by System

LCMAT = MOTS 'YG1' 'YG2' 'YG3' 'NU12' 'NU23' 'NU13' 'G12' 'G23' 'G13' 'V1X' 'V1Y' 'V1Z' 'V2X' 'V2Y' 'V2Z'

'FAI1' 'THTA' 'FAI2' Euler Angles

'G0' 'G\_' 'H0' 'dgam0' 'm' 'a' 'q'  
'gtr' 'G' 'beta' 'miu' 'theta0' 't\_ambient' 'fc'  
'Gw' 'G\_w' 'H0w' 'dxiw' 'mw' 'aw' 'qw'  
'b\_' 'b1' 'b2' 'gam\_'

Deformation Slip  
Transformation  
Deformation twinning  
TRIP

'h' 'c\_p' 'volume' 'area' Thermomechanical Coupling

'fc\_''gx\_''b5''b6''G1''G2''H1''H2''tw\_a''tw\_b'; Cyclic deformation

# Numerical Implementation

## ■ Subroutine Interface

'C\_VARINTER' – give access to the list of names associated with the material's internal variables, LCVAR

```
LCVAR = LCHOOK ET LCR ET FININV ET BINT ET LCTAUP ET LCG ET LGAM ET LCTAUTR ET LXI ET LXITOT  
ET LCTAUTW ET LCGW ET LXITW ET LXITWTOT ET LGAMTW ET LCTAUTRI ET LGAMTRIP ET LXIC  
ET LTHETA ET LTMD ET LTLT ET LTH ET LGAMTOT ET LGAMTWOT ET LGAMTROT ET LFC ET LGX;
```

```
LXI = MOTS 'XI01' 'XI02' 'XI03' 'XI04'  
          'XI05' 'XI06' 'XI07' 'XI08'  
          'XI09' 'XI10' 'XI11' 'XI12'  
          'XI13' 'XI14' 'XI15' 'XI16'  
          'XI17' 'XI18' 'XI19' 'XI20'  
          'XI21' 'XI22' 'XI23' 'XI24';
```

# Numerical Implementation

## ■ Time-integration Procedure

$t$ : Prior Time

$\tau = t + \Delta t$ : Current Time

Given:

- (1)  $\mathbf{F}(t), \mathbf{F}(\tau), \mathbf{F}_{inel}(t),$
- (2)  $\mathbf{T}(t), \boldsymbol{\sigma}(t),$
- (3)  $\xi^{(i)}(t), \xi_c(t), \gamma_A^{(\alpha)}(t), \gamma_{tw}^{(t)}(t), \gamma_{trip}^{(\alpha)}(t),$   
 $\tau_A^{(\alpha)}(t), \tau_{tw}^{(t)}(t), g_A^{(\alpha)}(t), g_{tw}^{(t)}(t), \mathbf{B}_{int}(t),$
- (4)  $\theta(t)$



To Calculate:

- (1)  $\xi^{(i)}(\tau), \xi_c(\tau), \gamma_A^{(\alpha)}(\tau), \gamma_{tw}^{(t)}(\tau), \gamma_{trip}^{(\alpha)}(\tau),$   
 $\tau_A^{(\alpha)}(\tau), \tau_{tw}^{(t)}(\tau), g_A^{(\alpha)}(\tau), g_{tw}^{(t)}(\tau), \mathbf{B}_{int}(\tau),$
- (2)  $\theta(\tau),$
- (3)  $\mathbf{F}_{inel}(\tau),$
- (4)  $\mathbf{T}(\tau), \boldsymbol{\sigma}(\tau)$

# Numerical Implementation

## ■ Time-integration Procedure

### Step1: Calculate $E_e(\tau)^{trial}$

$$\begin{aligned}\mathbf{F}_e(\tau)^{trial} &= \mathbf{F}(\tau) \underline{\mathbf{F}_{inel}(t)}^{-1} \\ \mathbf{A}(\tau)^{trial} &= (\mathbf{F}_e(\tau)^{trial})^T \mathbf{F}_e(\tau)^{trial} \\ \mathbf{E}_e(\tau)^{trial} &= \frac{1}{2}(\mathbf{A}(\tau)^{trial} - \mathbf{I})\end{aligned}$$

### Step2: Calculate elastic modulus

$$\mathbb{C}(t) = (1 - \xi(t))\mathbb{C}_A + \xi(t)\mathbb{C}_M$$

### Step3: Calculate $\mathbf{T}(\tau)^{trial}, \mathbf{M}(\tau)^{trial}$

$$\begin{aligned}\mathbf{T}(\tau)^{trial} &= \mathbb{C}(t) : \mathbf{E}_e(\tau)^{trial} \\ \mathbf{M}(\tau)^{trial} &= \mathbf{A}(\tau)^{trial} \mathbf{T}(\tau)^{trial}\end{aligned}$$

### Step4: Calculate trial resolved shear stress

$$\begin{aligned}\tau_A^{(\alpha)}(\tau)^{trial} &= \mathbf{M}(\tau)^{trial} : \mathbf{S}_p^{(\alpha)} \\ \tau_{tr}^{(i)}(\tau)^{trial} &= (\mathbf{M}(\tau)^{trial} + \mathbf{B}_{int}(t)) : \mathbf{S}_{tr}^{(i)} \\ \tau_{tw}^{(t)}(\tau)^{trial} &= \mathbf{M}(\tau)^{trial} : \mathbf{S}_{tw}^{(t)}, \\ \tau_{trip}^{(\alpha)}(\tau)^{trial} &= (\mathbf{M}(\tau)^{trial} + \mathbf{B}_{int}(t)) : \mathbf{S}_p^{(\alpha)}\end{aligned}$$

### Step5: Calculate trial driving force for each mechanism

$$\begin{aligned}f_{tr}^{(i)}(\tau)^{trial} &= g_{tr} \tau_{tr}^{(i)}(\tau)^{trial} - \frac{1}{2} \mathbf{E}_e : \Delta \mathbb{C}(t) : \mathbf{E}_e - \mu(\theta(t) - \theta_0) - G\xi(t) - \frac{1}{2}\beta g_{tr}(1 - 2\xi(t)) \\ f_A^{(\alpha)}(\tau)^{trial} &= |\tau_A^{(\alpha)}(\tau)^{trial}| - g_A^{(\alpha)}(t) \\ f_{tw}^{(t)}(\tau)^{trial} &= \tau_{tw}^{(t)}(\tau)^{trial} - g_{tw}^{(t)}(t) \\ f_{trip}^{(\alpha)}(\tau)^{trial} &= \tau_{trip}^{(\alpha)}(\tau)^{trial}\end{aligned}$$

### Step6: Calculate $\Delta\gamma_A^{(\alpha)}(\tau)$ , $\Delta\gamma_{tw}^{(t)}(\tau)$ , $\Delta\xi^{(i)}(\tau)$ and $\Delta\gamma_{trip}^{(\alpha)}(\tau)$

### Step7: Renew $\xi^{(i)}(\tau)$ , $\xi(\tau)$ and $\xi_c(\tau)$

# Numerical Implementation

## ■ Time-integration Procedure

**Step8:** Calculate temperature change  $\Delta\theta(\tau)$

**Step9:** Calculate and normalize  $\mathbf{F}_{inel}(\tau)$

$$\mathbf{F}_{inel}(\tau) = [1 + (1 - \xi(\tau)) \sum_{\alpha=1}^{24} \Delta\gamma_A^{(\alpha)}(\tau) \mathbf{S}_p^{(\alpha)} + \sum_{i=1}^{24} \Delta\xi^{(i)}(\tau) g_{tr} \mathbf{S}_{tr}^{(i)} + \xi(\tau) \sum_{t=1}^{11} \Delta\gamma_{tw}^{(t)}(\tau) \mathbf{S}_{tw}^{(t)} + (1 - \xi(\tau)) \sum_{\alpha=1}^{24} \Delta\gamma_{trip}^{(\alpha)}(\tau) \mathbf{S}_p^{(\alpha)}] \mathbf{F}_{inel}(t)$$

$$\mathbf{J}_{inel} = \det(\mathbf{F}_{inel}(\tau)), \quad \mathbf{F}_{inel}(\tau) = \mathbf{J}_{inel}^{-\frac{1}{3}} \mathbf{F}_{inel}(\tau)$$

**Step10:** Update  $\mathbb{C}(\tau)$

$$\mathbb{C}(\tau) = (1 - \xi(\tau)) \mathbb{C}_A + \xi(\tau) \mathbb{C}_M$$

**Step11:** Compute  $\mathbf{F}_e(\tau)$ ,  $\mathbf{T}(\tau)$  and  $\boldsymbol{\sigma}(\tau)$

$$\mathbf{F}_e(\tau) = \mathbf{F}(\tau) \mathbf{F}_{inel}(\tau)^{-1}$$

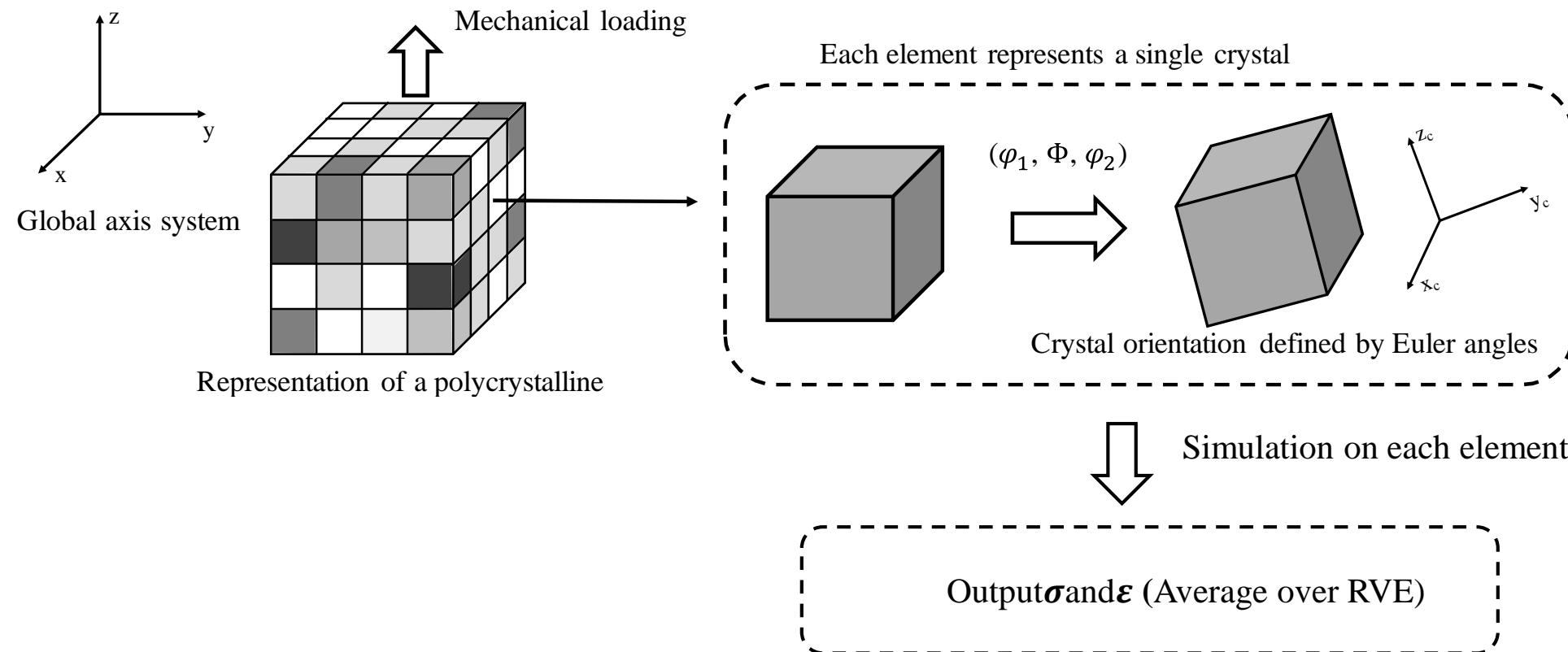
$$\mathbf{T}(\tau) = \mathbb{C}(\tau) : \mathbf{E}_e(\tau)$$

$$\boldsymbol{\sigma} = \frac{1}{\det(\mathbf{F}_e(\tau))} \mathbf{F}_e(\tau) \mathbf{T}(\tau) (\mathbf{F}_e(\tau))^T$$

**Step12:** Renew a group of internal variables

# Numerical Implementation

## ■ Generalize the Model for Polycrystalline



# Contents

1

**Backgrounds**

---

2

**Constitutive Equations**

---

3

**Numerical Implementation**

---

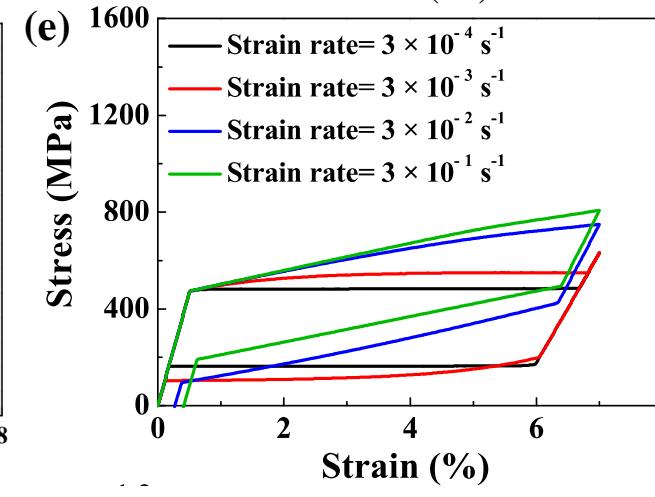
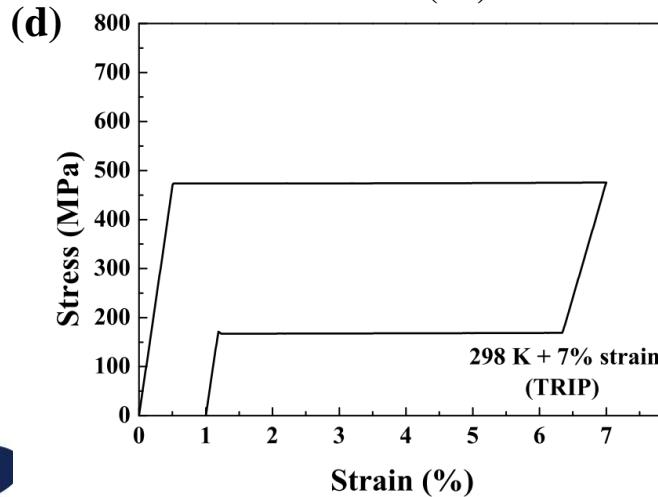
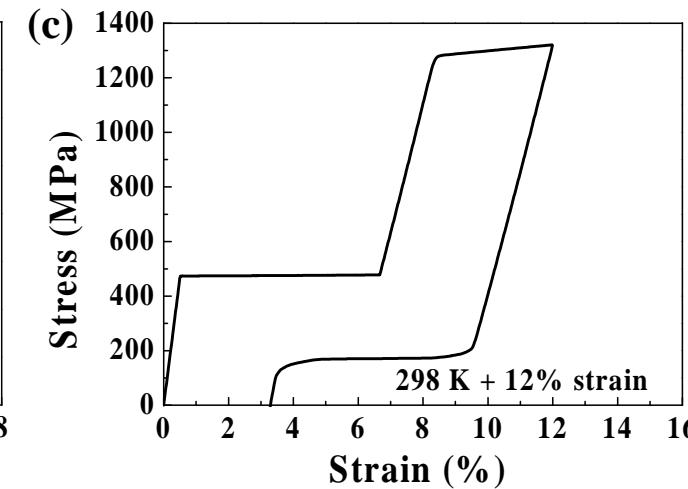
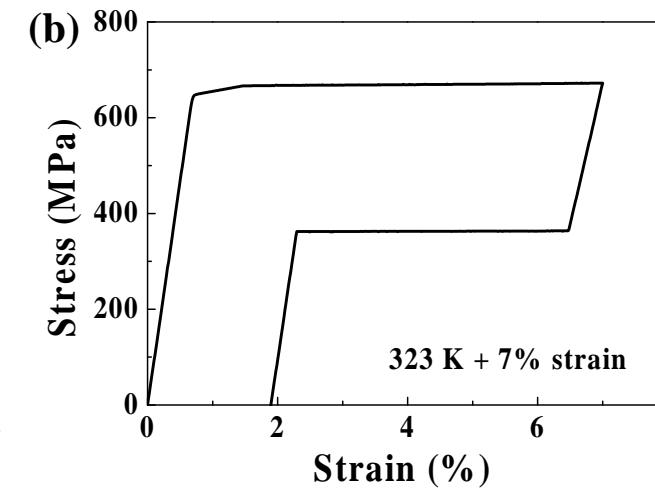
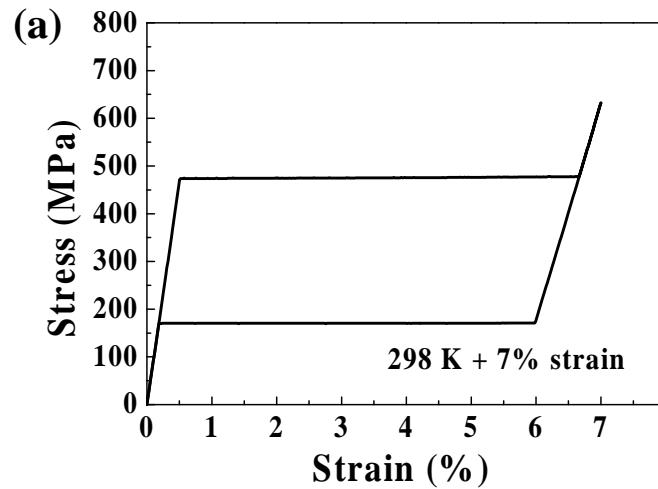
4

**Selected Results**

---

# Selected Results

## ■ Activation of inelastic mechanisms



(a): Room Temperature

(b): High Temperature

(c): Large Strain Amplitude

(d): TRIP

(e): Thermomechanical Coupling

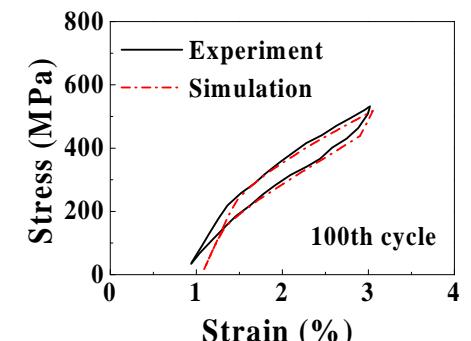
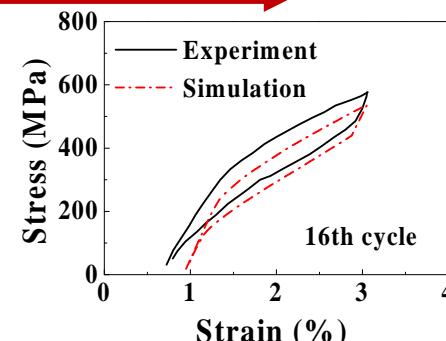
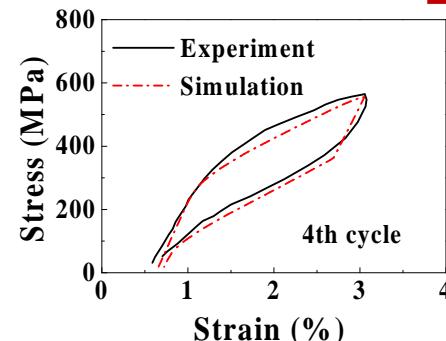
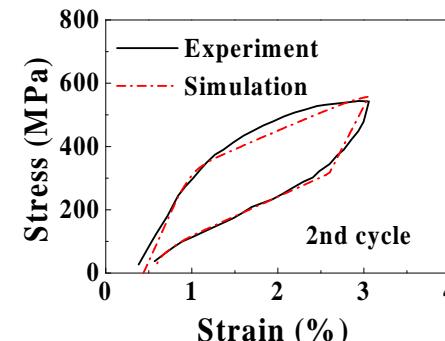
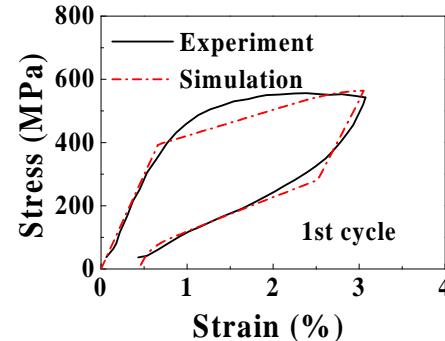
# Selected Results

## ■ Cyclic Responses of Single Crystal of Different Orientations

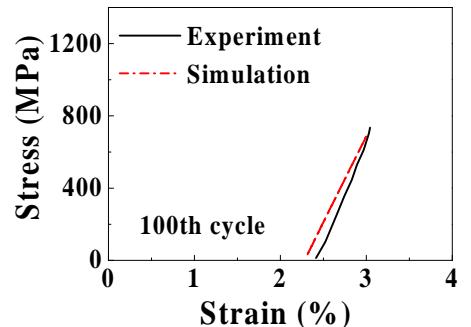
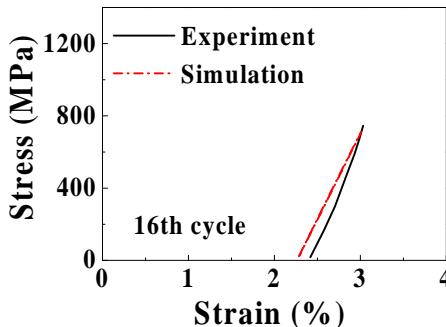
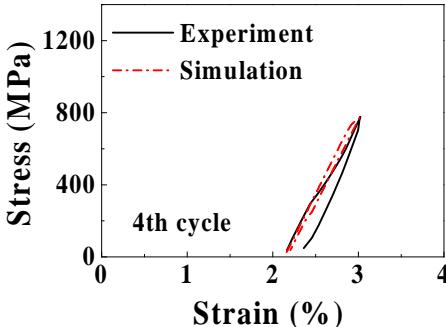
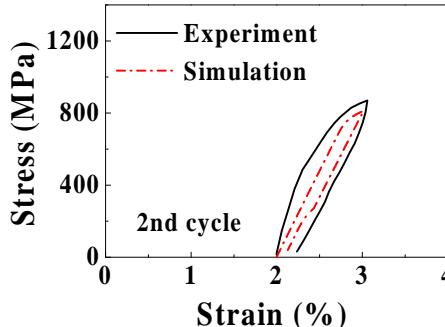
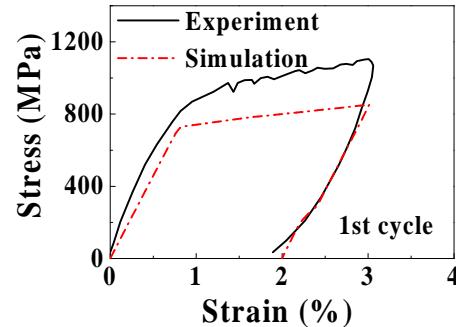
Loading cycles

Gall K, Maier H J. Acta Materialia, 2002

[210]



[111]

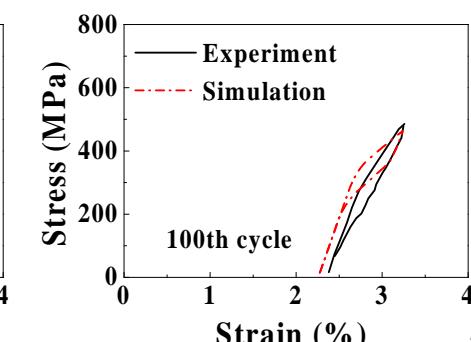
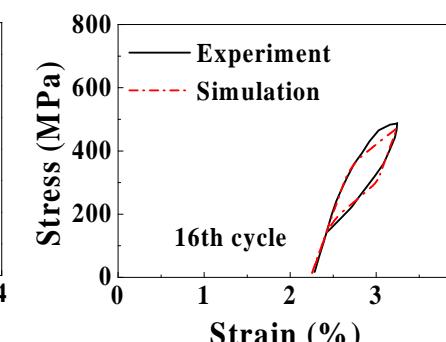
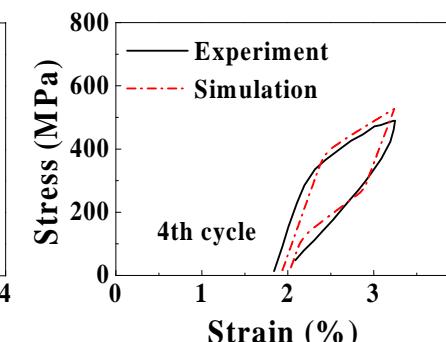
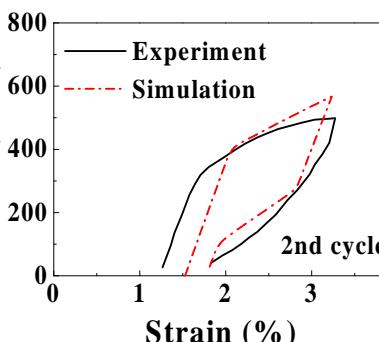


[321]



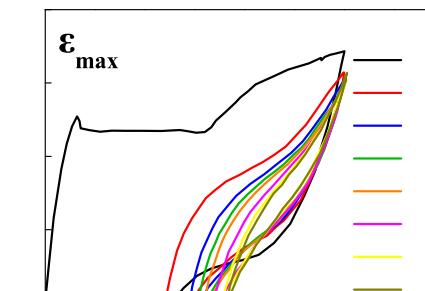
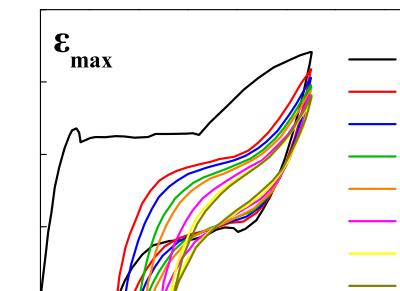
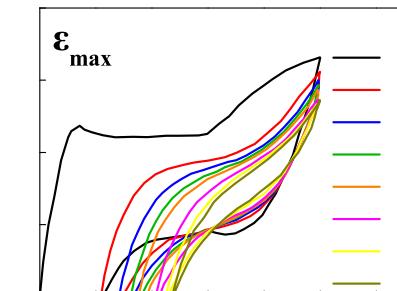
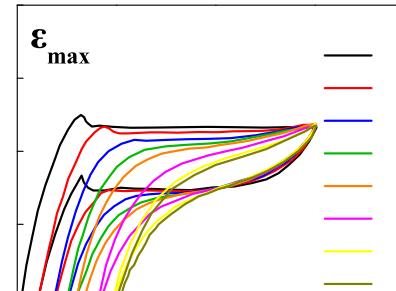
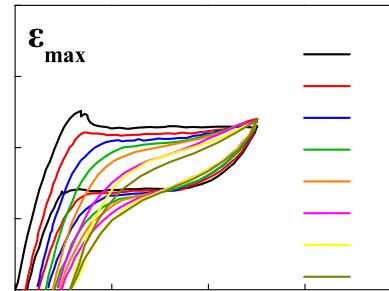
ENSTA

IP PARIS

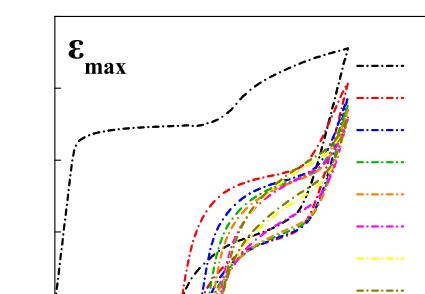
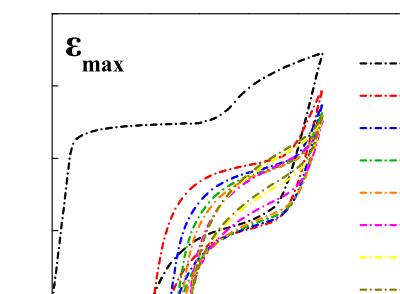
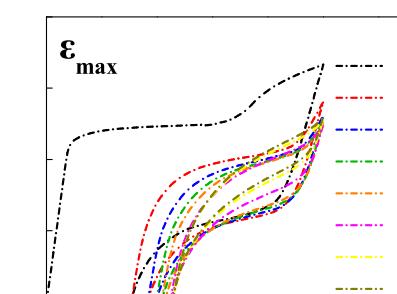
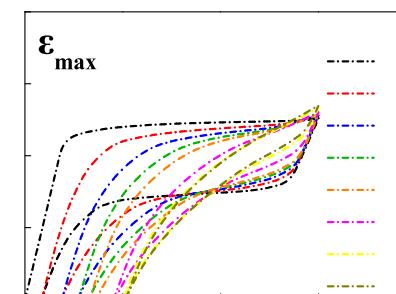
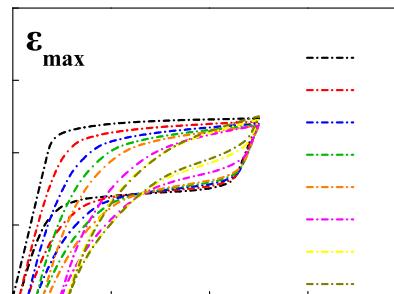


# Selected Results

## ■ Cyclic Responses of Polycrystalline at Different Strain Amplitudes Experiment (Wang et al., 2008)

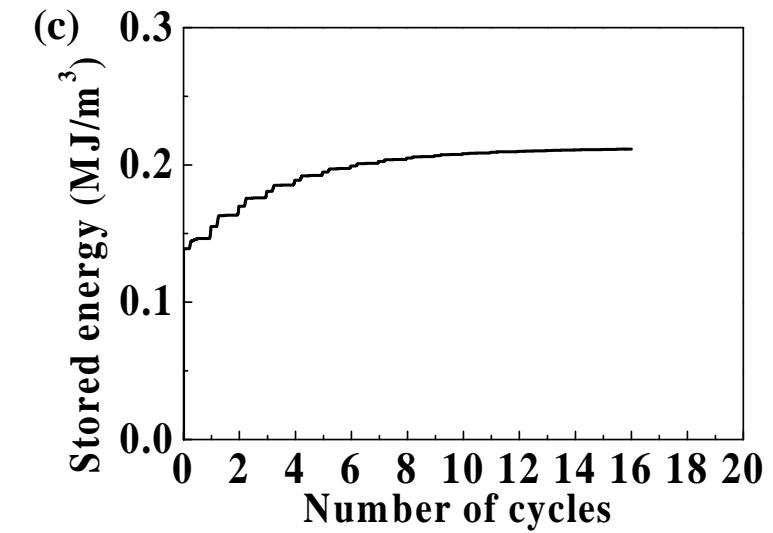
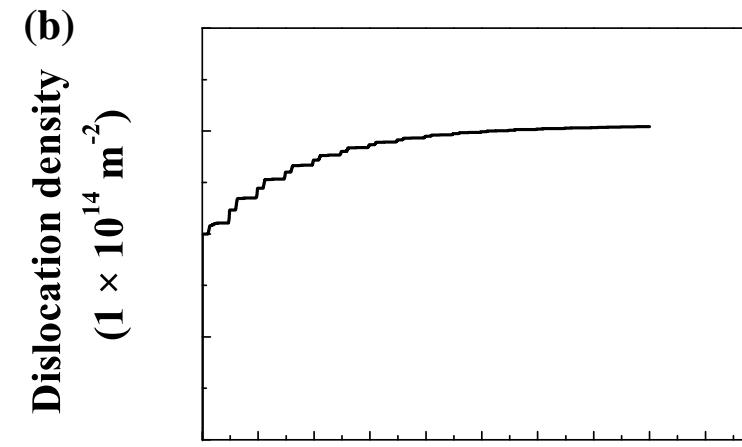
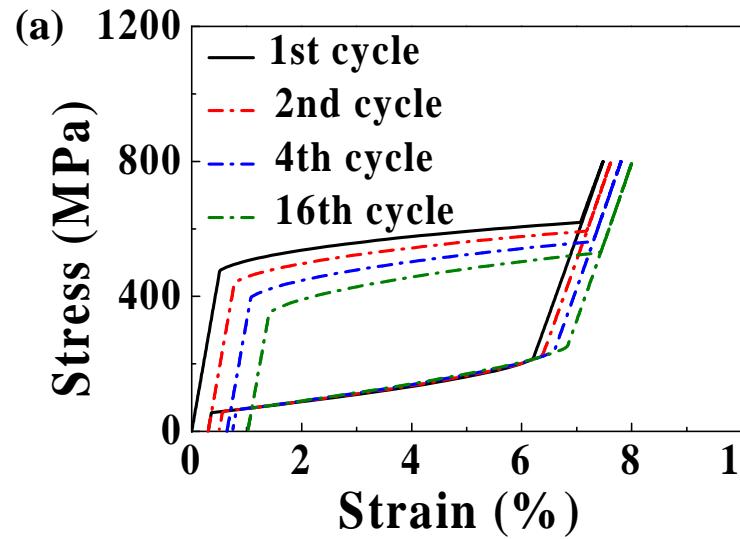


Our Simulation ( $\gamma$ - fiber {111})



# Selected Results

## ■ Evolution of Microstructural-related Variables



$$\rho_{tot} = (1 - \xi)\rho_A + \xi \cdot \xi_{tw} \cdot \rho_M,$$

$$E_{st} \approx \rho_{tot} E_{dis} \approx \frac{1}{2} \rho_{tot} G_{shear} b^2$$

# Conclusions

- Developed a Multiscale and Thermomechanical Model for SMAs
- Implemented the model into CAST3M and Well Reproduced Cyclic Response of SMAs
- Introduced Variables Associated with Microstructural Changes for Fatigue Analysis

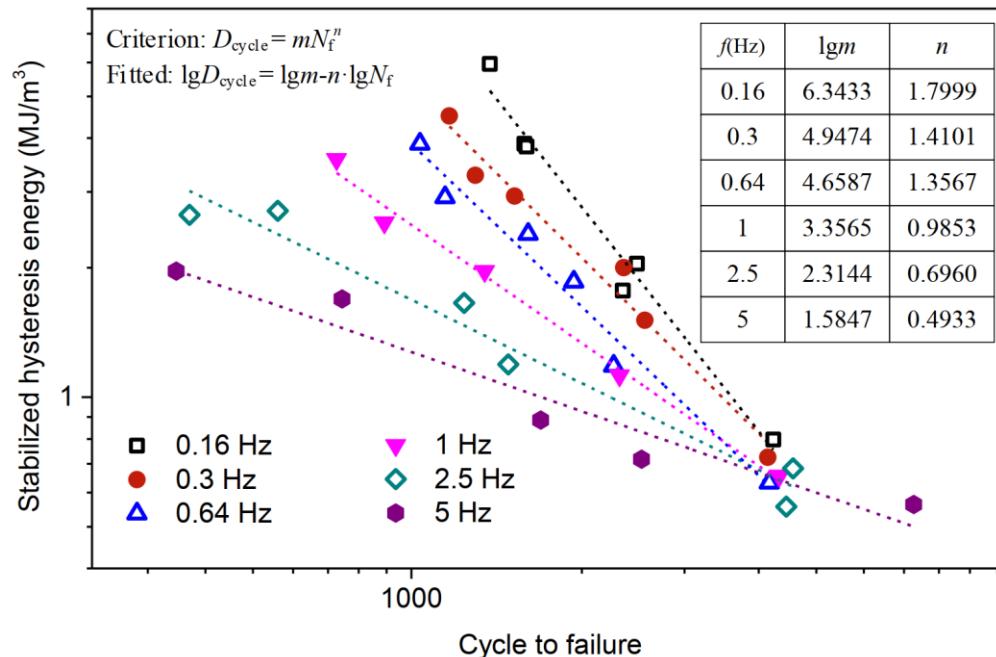


# Thank you for your attention!

PhD student (Reporter): **Xiaofei JU** (xiaofei.ju@ensta-paris.fr)  
Supervisor: **Ziad MOUMNI** (ziad.moumni@ensta-paris.fr)

# Motivations

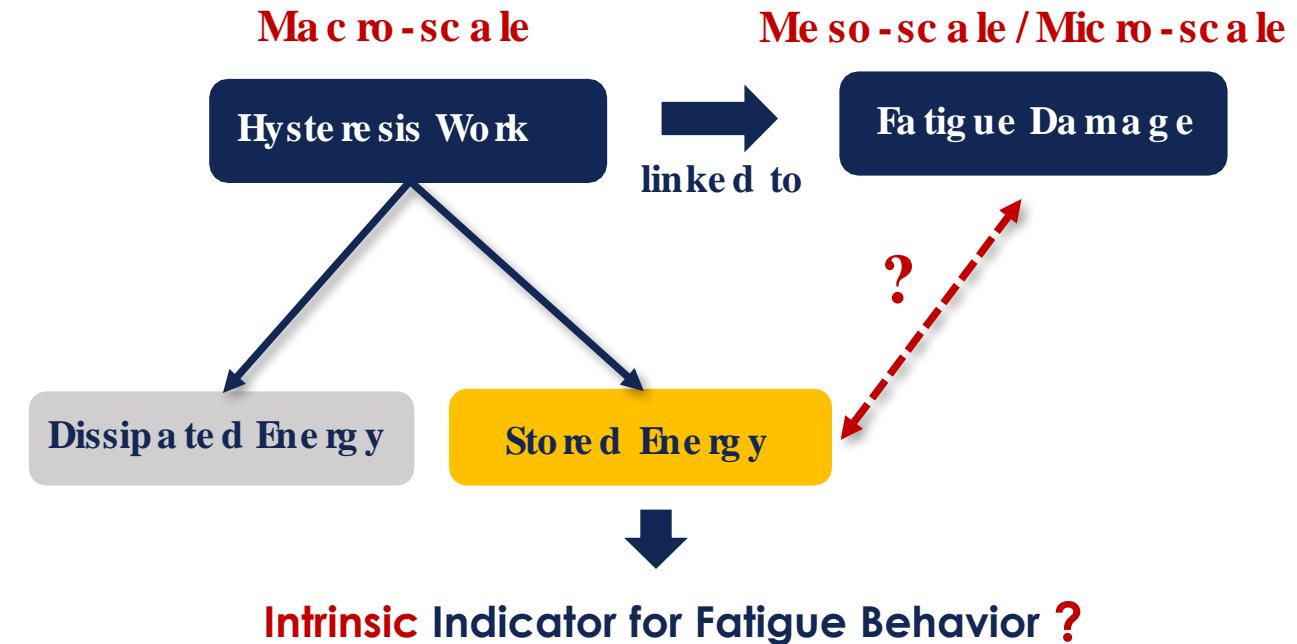
## ■ Development of a Reliable Fatigue Criterion



For NiTi SMAs:

$$D_{cycle} = m_1 f^{m_2} N_f^{(n_1 \cdot \lg f + n_2)}$$

**Micromechanical-based Models are the key for Investigating the fatigue behavior & further establishing a good fatigue criterion**



# Equations

## ■ Definition of Effective Anisotropic Elastic Moduli

$$\mathbb{C} = (1 - \xi)\mathbb{C}_A + \xi\mathbb{C}_M$$



$$\mathbf{T} = \mathbb{C}: \mathbf{E}_e$$



$$\boldsymbol{\sigma} = \frac{1}{\det(\mathbf{F}_e)} \mathbf{F}_e \mathbf{T} \mathbf{F}_e^T$$

# Equations

## ■ Thermomechanical Coupling

The first law of thermodynamics

$$\begin{aligned}\psi &= U - \theta q \\ \dot{U} &= \mathbf{P} : \dot{\mathbf{F}} - \nabla \cdot \mathbf{q} \quad \longrightarrow \quad \theta \dot{\eta} = \mathbf{P} : \dot{\mathbf{F}} - \dot{\psi} - \dot{\theta} \eta - \nabla \cdot \mathbf{q}\end{aligned}$$

$$\theta \dot{\eta} = \sum_{i=1}^{24} f_{tr}^{(i)} \dot{\xi}^{(i)} + (1 - \xi) \sum_{\alpha=1}^{24} f_A^{(\alpha)} \dot{\gamma}_A^{(\alpha)} + \xi \sum_{t=1}^{11} f_{tw}^{(t)} \dot{\gamma}_{tw}^{(t)} + (1 - \xi) \sum_{\alpha=1}^{24} f_{trip}^{(\alpha)} \dot{\gamma}_{trip}^{(\alpha)} - \nabla \cdot \mathbf{q}$$

$$\begin{aligned}\eta &= -\frac{\partial \psi}{\partial \theta} = C \ln \frac{\theta}{\theta_0} - \mu \sum_{i=1}^{24} \xi^{(i)} \\ C \dot{\theta} + \nabla \cdot \mathbf{q} &= \underbrace{\sum_{i=1}^{24} f_{tr}^{(i)} \dot{\xi}^{(i)} + (1 - \xi) \sum_{\alpha=1}^{24} f_A^{(\alpha)} \dot{\gamma}_A^{(\alpha)} + \xi \sum_{t=1}^{11} f_{tw}^{(t)} \dot{\gamma}_{tw}^{(t)} + (1 - \xi) \sum_{\alpha=1}^{24} f_{trip}^{(\alpha)} \dot{\gamma}_{trip}^{(\alpha)}}_{Mechanical\ dissipation} + \underbrace{\theta \mu \sum_{i=1}^{24} \dot{\xi}^{(i)}}_{Latent\ heat}\end{aligned}$$

# Simulation Part – Basic Model

## ■ Helmholtz free energy density

$$\psi(E_e, \xi^{(i)}, \theta) = \psi_e + \psi_\theta + \psi_{int} + \psi_p + \psi_{trans} + \psi_{cst}$$

where,

$$\psi_e = \frac{1}{2} E_e : \mathbb{C} : E_e$$

$$\psi_\theta = C \left[ (\theta - \theta_0) - \theta \ln \frac{\theta}{\theta_0} \right] + \mu(\theta - \theta_0)\xi$$

$$\dot{\psi}_{int} = -B_{int} : (L_{tr} + L_{trip})$$

$$\dot{\psi}_p = (1 - \xi) \sum_{\alpha=1}^{24} g_A^{(\alpha)} |\dot{\gamma}_A^{(\alpha)}| + \xi \sum_{t=1}^{11} g_{tw}^{(t)} (\dot{\gamma}_{tw}^{(t)})$$

$$\psi_{trans} = \frac{1}{2} G \xi^2 + \frac{1}{2} \beta g_{tr} \xi (1 - \xi)$$

$$\psi_{cst} = -w_0 (1 - \xi) - \sum_{i=1}^{N_T} w_i \xi^{(i)}$$

## ■ Thermodynamic Driving Forces

$$P : \dot{F} - \dot{\psi} - \eta \dot{\theta} - \frac{q \nabla \theta}{\theta} \geq 0$$

Clausius-Duhem Inequality

$$\begin{aligned}
 & \downarrow \\
 & \left( T - \frac{\partial \psi}{\partial E_e} \right) : \dot{E}_e - \left( \eta + \frac{\partial \psi}{\partial \theta} \right) \dot{\theta} + \sum_{i=1}^{24} \left[ g_{tr} (\mathbf{M} + \mathbf{B}_{int}) : \mathbf{S}_{tr}^{(i)} - \frac{\partial \psi}{\partial \xi^{(i)}} \right] \dot{\xi}^{(i)} \geq 0 \\
 & + (1 - \xi) \sum_{\alpha=1}^{24} \left[ (\mathbf{M} : \mathbf{S}_p^{(\alpha)}) \dot{\gamma}_A^{(\alpha)} - g_A^{(\alpha)} |\dot{\gamma}_A^{(\alpha)}| \right] + \xi \sum_{t=1}^{11} \left( \mathbf{M} : \mathbf{S}_{tw}^{(t)} - g_{tw}^{(t)} \right) \dot{\gamma}_{tw}^{(t)} \geq 0 \\
 & + (1 - \xi) \sum_{\alpha=1}^{24} \left[ (\mathbf{M} + \mathbf{B}_{int}) : \mathbf{S}_p^{(\alpha)} \right] \dot{\gamma}_{trip}^{(\alpha)} - \frac{q \nabla \theta}{\theta} \geq 0 \geq 0
 \end{aligned}$$

## Thermodynamic Driving Force For Phase Transformation

$$\begin{aligned}
 f_{tr}^{(i)} &= g_{tr} (\mathbf{M} + \mathbf{B}_{int}) : \mathbf{S}_{tr}^{(i)} - \frac{1}{2} E_e : \Delta \mathbb{C} : E_e - \mu(\theta - \theta_0) \\
 &\quad - G \xi - \frac{1}{2} \beta g_{tr} (1 - 2\xi) + w_0 - w_i
 \end{aligned}$$

# Implementation

## ■ Detailed procedure for step 6

- Plasticity in austenite

(1) The slip increment is approximated as:

$$\Delta\gamma_A^{(\alpha)}(\tau) \approx [(1 - \theta_1)\dot{\gamma}_A^{(\alpha)}(t) + \theta_1\dot{\gamma}_A^{(\alpha)}(\tau)]\Delta t$$

( $\theta_1$  is a parameter between [0, 1]. In the present work,  $\theta_1$  is taken as 0.5.)

(2) Employing a Taylor expansion:

$$\dot{\gamma}_A^{(\alpha)}(\tau) = \dot{\gamma}_A^{(\alpha)}(t) + \frac{\partial\dot{\gamma}_A^{(\alpha)}}{\partial\tau_A^{(\alpha)}}\Big|_t \Delta\tau_A^{(\alpha)}(\tau) + \frac{\partial\dot{\gamma}_A^{(\alpha)}}{\partial g_A^{(\alpha)}}\Big|_t \Delta g_A^{(\alpha)}(\tau)$$

(3) The slip increment is rewritten as:

$$\Delta\gamma_A^{(\alpha)}(\tau) = \Delta t(\dot{\gamma}_A^{(\alpha)}(t) + \theta_1 \frac{\partial\dot{\gamma}_A^{(\alpha)}}{\partial\tau_A^{(\alpha)}}\Big|_t \Delta\tau_A^{(\alpha)}(\tau) + \theta_1 \frac{\partial\dot{\gamma}_A^{(\alpha)}}{\partial g_A^{(\alpha)}}\Big|_t \Delta g_A^{(\alpha)}(\tau))$$

Where,  $\Delta\tau_A^{(\alpha)}(\tau) = \tau_A^{(\alpha)}(\tau)^{trial} - \tau_A^{(\alpha)}(t)$ ,  $\Delta g_A^{(\alpha)}(\tau) = \sum_{\beta=1}^{24} h_A^{\alpha\beta} |\Delta\gamma_A^{(\beta)}(\tau)|$

(4)  $f(\Delta\gamma_A^{(\alpha)}(\tau)) \doteq \Delta\gamma_A^{(\alpha)}(\tau) - [(1 - \theta_1)\dot{\gamma}_A^{(\alpha)}(t) + \theta_1\dot{\gamma}_A^{(\alpha)}(\tau)]\Delta t$

(5) solve  $f(\Delta\gamma_A^{(\alpha)}(\tau)) \doteq 0$  by Newton-Raphson method

Explicit method

Implicit method

# Implementation

## ■ Detailed procedure for step 6

- Phase transformation

(1) Determine the set of potentially active systems  $\mathcal{PA}$

a. For forward transformation, the system belongs to  $\mathcal{PA}$  if it satisfies:

$$f_{tr}^{(i)}(\tau)^{trial} - f_c^{(i)} > 0, \quad \xi^{(i)}(t) \in [0, 1] \quad \text{and} \quad \dot{\xi}(t) \in [0, 1]$$

b. For reverse transformation, the system belongs to  $\mathcal{PA}$  if it satisfies:

$$f_{tr}^{(i)}(\tau)^{trial} + f_c^{(i)} < 0, \quad \xi^{(i)}(t) \in (0, 1] \quad \text{and} \quad \dot{\xi}(t) \in (0, 1]$$

(2) Solve a equation set deriving from the consistency conditions:  $\sum_{j \in \mathcal{PA}} A^{ij} x^j = b^i, i \in \mathcal{PA}$

a. For the forward phase transformation, it has:

$$A^{ij} = [g_{tr}^2 \mathbf{C}_{trans}^{(j)}(\tau)^{trial} - \sum_{k=1}^{24} (\frac{B_{sat}}{b} e^{-\frac{\xi_c(t)}{b}} \mathbf{S}_{tr}^{(k)})] : \mathbf{S}_{tr}^{(i)} + G - \beta g_{tr}$$

$$b^i = f_{tr}^{(i)}(\tau)^{trial} - f_c^{(i)}$$

$$x^i = \Delta\xi^{(i)}(\tau) > 0$$

b. For the reverse phase transformation, it has:

$$A^{ij} = [g_{tr}^2 \mathbf{C}_{trans}^{(j)}(\tau)^{trial} + \sum_{k=1}^{24} (\frac{B_{sat}}{b} e^{-\frac{\xi_c(t)}{b}} \mathbf{S}_{tr}^{(k)})] : \mathbf{S}_{tr}^{(i)} + G - \beta g_{tr}$$

$$b^i = f_{tr}^{(i)}(\tau)^{trial} + f_c^{(i)}$$

$$x^i = \Delta\xi^{(i)}(\tau) < 0$$

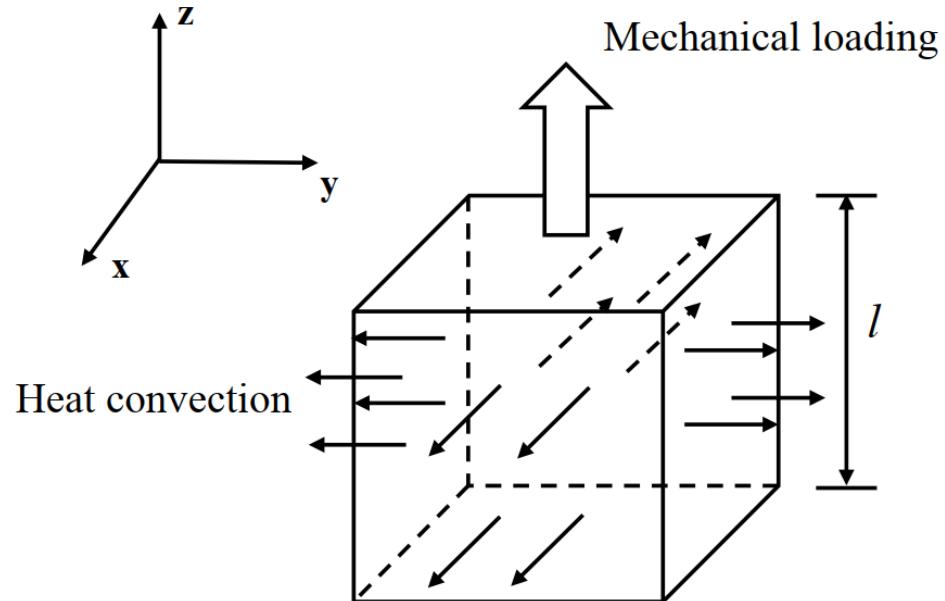
(3) If the solution  $\Delta\xi^{(i)}(\tau)$  is negative during forward transformation, this system is inactive and removed from  $\mathcal{PA}$ .  $A^{ij}$  will be recalculated.

Similar conduction for reverse transformation (when  $\Delta\xi^{(i)}(\tau)$  positive).

(4) Such iterative procedure is continued until all  $\Delta\xi^{(i)}(\tau)$  satisfy the requirement.

# Implementation

## ■ Boundary Conditions



$$\begin{aligned} u_z(z=0) &= 0 \\ u_x(x=0) &= 0 \\ u_y(y=0) &= 0 \\ u_z(z=l) &= u_z(t) \end{aligned}$$

```
csu = enve vol1;
x1 = coor vol1 1;
haut = csu elem 'APPU' 'STRI' (x1 poin 'EGAL' l1) coul bleu;

cl_haut = blog 'UX' haut;
depX = DEPI cl_haut (50.*l3);

ltps = prog 0. 234.;
lamp = prog 0. 0.001;
ev1 = evol 'MANU' ltps lamp;
cha0 = CHAR 'DIMP' depX ev1;

rigx = blog sur1 'UX';

x2 = coor vol1 2;
symy = csu elem 'APPU' 'STRI' (x2 poin 'EGAL' 0.) coul roug;
d4 = d4 coul roug;
rigy = BLOQ d4 'UY';

x3 = coor vol1 3;
symz = csu elem 'APPU' 'STRI' (x3 poin 'EGAL' 0.) coul vert;
d1 = d1 coul vert;
rigz = BLOQ d1 'UZ';
```

# Implementation

## ■ Pasapas

```
cl_haut = blog 'UX' haut;
depx = DEPI cl_haut (50.*l3);

ltps = prog 0. 234.;
lamp = prog 0. 0.001;
ev1 = evol 'MANU' ltps lamp;

cha0 = CHAR 'DIMP' depx ev1;
```

### Displacement Control Loading

```
TABU = TABLE ;
TABU.'MODELE' = mo_util ;
TABU.'CARACTERISTIQUES' = ma_util1 et ma_util2 ;
TABU.GRANDE_DEPLACEMENTS= vrai;
TABU.'BLOCAGES_MECANIQUES' = rigx et rigy et rigz et cl_haut;
TABU.'CHARGEMENT' = cha0 ;
TABU.'TEMPS_CALCULES' = PROG 0. pas 0.02 234.;
TABU.'TEMPS_SAUVES' = PROG 0. pas 2. 234.;

TMASAU=table;
tabu . 'MES_SAUVAGEARDES'=TMASAU;
TMASAU .'DEFTO'=VRAI;
TMASAU .'DEFIN'=VRAI;
TEMPS 'ZERO' ;
PASAPAS TABU ;
```

```
tt=tabu. TEMPS_SAUVES;
nn= dime tt ;
nn=nn-1;

*N = dime (tabu.DEPLACEMENTS);
ff= prog 0. ;
repe BOUC1 ne;
repe BOUC2 8;

SI ((&BOUC1 < 2) ET (&BOUC2 < 2));
ff= extr (tabu. contraintes. nn) smxx 1 &BOUC1 &BOUC2;

SINON;
ff= ff + (extr(tabu. contraintes. nn) smxx 1 &BOUC1 &BOUC2);

FINSI;

fin BOUC2;
fin BOUC1;
ff=ff/nip;

ltps2 = prog 234. 468. ;
lamp2 = prog 1. 0.08;
ev2 = evol 'MANU' ltps2 lamp2;

flot1=flot (-1.*ff);
f1=pres mass mo_util flot1 haut;
cha2= CHAR 'MECA' f1 ev2;

TABU.'BLOCAGES_MECANIQUES' = rigx et rigy et rigz;
TABU.'CHARGEMENT' = cha2 ;
TABU.'TEMPS_CALCULES' = PROG 234. pas 0.02 468. ;
TABU.'TEMPS_SAUVES' = PROG 234. pas 2. 468. ;
*TABU.'CONTRAINTE' .0= si1;
TMASAU=table;
tabu . 'MES_SAUVAGEARDES'=TMASAU;
TMASAU .'DEFTO'=VRAI;
TMASAU .'DEFIN'=VRAI;
TEMPS 'ZERO' ;
PASAPAS TABU ;

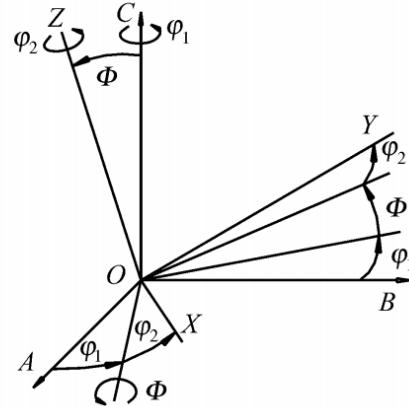
*TABTPS = TEMP 'NOEC';
*CPUext = TABTPS.'TEMPS_CPU'.'INITIAL';

opti sauve '1cycle.sauve';
SAUV tabu;
```

### Force-control Unloading

# Euler Angles

## ■ Use Euler angles to represent the transformation matrix



- ABC- Global coordinate system
- XYZ- Local coordinate system

- Rotate sequence: Z-X-Z with the angle of  $(\varphi_1, \phi, \varphi_2)$

$$g = \begin{bmatrix} \cos \varphi & \sin \varphi & 0 \\ -\sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \Phi & \sin \Phi \\ 0 & -\sin \Phi & \cos \Phi \end{bmatrix} \begin{bmatrix} \cos \varphi_1 & \sin \varphi_1 & 0 \\ -\sin \varphi_1 & \cos \varphi_1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (1-5)$$

- Transport of the transformation matrix

$$\begin{aligned} g &= \begin{bmatrix} \cos \varphi \cos \varphi - \sin \varphi \sin \varphi \cos \Phi & \sin \varphi \cos \varphi + \cos \varphi \sin \varphi \cos \Phi & \sin \varphi \sin \Phi \\ -\cos \varphi \sin \varphi - \sin \varphi \cos \varphi \cos \Phi & -\sin \varphi \sin \varphi + \cos \varphi \cos \varphi \cos \Phi & \cos \varphi \sin \Phi \\ \sin \varphi \sin \Phi & -\cos \varphi \sin \Phi & \cos \Phi \end{bmatrix} \\ &= \begin{bmatrix} u & r & h \\ v & s & k \\ w & t & l \end{bmatrix} \end{aligned} \quad (1-6)$$

- Range of the angles

$$\varphi_1 \in [0, 2\pi], \quad \phi \in [0, \pi], \quad \varphi_2 \in [0, 2\pi]$$

# Random Orientation

- Range of the angles

$$\varphi_1 \in [0, 2\pi], \quad \phi \in [0, \pi], \quad \varphi_2 \in [0, 2\pi]$$

- Code in dgibi file

```
fai1 thta fai2 = 0. 0. 0.;  
fai1=BRUI 'BLAN' 'UNIF' 180. 180. (nbel vol1);  
thta=BRUI 'BLAN' 'UNIF' 90. 90. (nbel vol1);  
fai2=BRUI 'BLAN' 'UNIF' 180. 180. (nbel vol1);
```

Generate a random value list in the defined range for the Euler angles

```
opti 'SORT' 'fai1_n3';  
SORT 'EXCE' fai1;  
opti 'SORT' 'thta_n3';  
SORT 'EXCE' thta;  
opti 'SORT' 'fai2_n3';  
SORT 'EXCE' fai2;
```

Output the random value list for the Euler angles

```
fai1= manu 'CHML' mo_util 'REPA' 'TYPE' 'RIGIDITE' 'fai1' fai1;  
thta= manu 'CHML' mo_util 'REPA' 'TYPE' 'RIGIDITE' 'thta' thta;  
fai2= manu 'CHML' mo_util 'REPA' 'TYPE' 'RIGIDITE' 'fai2' fai2;
```

Spread the values of Euler angles on each element

# Random Orientation

- Generate pole figure from Euler angles (to check the orientations generated by simulations)



Use MTEX Toolbox

- Code in Matlab

```
*text redine wobble angle of 5 degree of {111}<110>
cs=crystalSymmetry('m3m');
ss=specimenSymmetry('1');
fname=[mtexDataPath '/ODF/euler_n5.txt' ];
ori = loadOrientation_generic(fname,'CS',cs,'SS',ss, 'ColumnNames', {'Euler1' 'Euler2' 'Euler3'},'Columns',[1,2,3], 'Degrees', 'Bunge');
setMTEXpref('xAxisDirection','north');
setMTEXpref('zAxisDirection','outofPlane');
plotPDF(ori,Miller({1,0,0},{1,1,1},{1,1,0},cs), 'MarkerSize', 3, 'points', 'all');
```

1. Define the symmetry of crystal and specimen
2. Input the Euler angles in the form of txt file.
3. Read the txt file as orientations
4. Set the direction for the pole figure
5. Plot the pole figure in the crystallographic orientations

# Texture

## ■ Range of the angles ( $<111>\{1-10\}$ texture)

=> [111], [1-10], [11-2]

consider wobble angle for polycrystal



=>  $\varphi_1 = 0, \phi = 55^\circ, \varphi_2 = 45^\circ$

$\varphi_1 \in [0, 360^\circ], \phi = 55^\circ \pm 5^\circ, \varphi_2 = 45^\circ$

## ■ Code in dgibi file

```
fail_thta fai2 = 0. 0. 45.;  
fail=BRUI 'BLAN' 'UNIF' 180. 180. (nbel voll);  
thta=BRUI 'BLAN' 'UNIF' 55. 5. (nbel voll);  
*fai2=BRUI 'BLAN' 'UNIF' 180. 180. (nbel voll);
```

Generate a random value list in the defined range for the Euler angles

```
opti 'SORT' 'fail_n5';  
SORT 'EXCE' fail;  
opti 'SORT' 'thta_n5';  
SORT 'EXCE' thta;
```

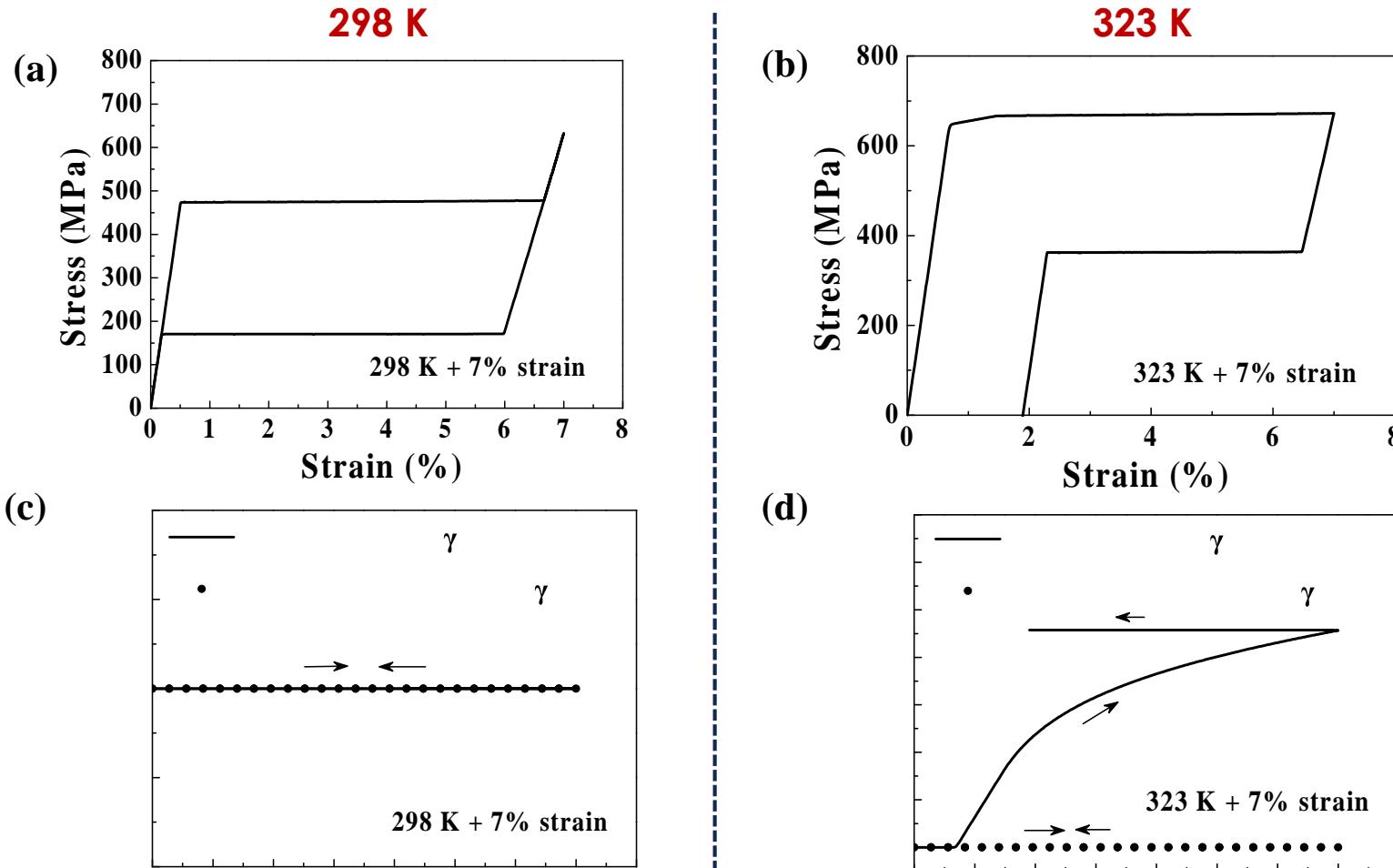
Output the random value list for the Euler angles

```
fail= manu 'CHML' mo_util 'REPA' 'TYPE' 'RIGIDITE' 'fail' fail;  
thta= manu 'CHML' mo_util 'REPA' 'TYPE' 'RIGIDITE' 'thta' thta;  
*fai2= manu 'CHML' mo_util 'REPA' 'TYPE' 'RIGIDITE' 'fai2' fai2;
```

Spread the values of Euler angles on each element

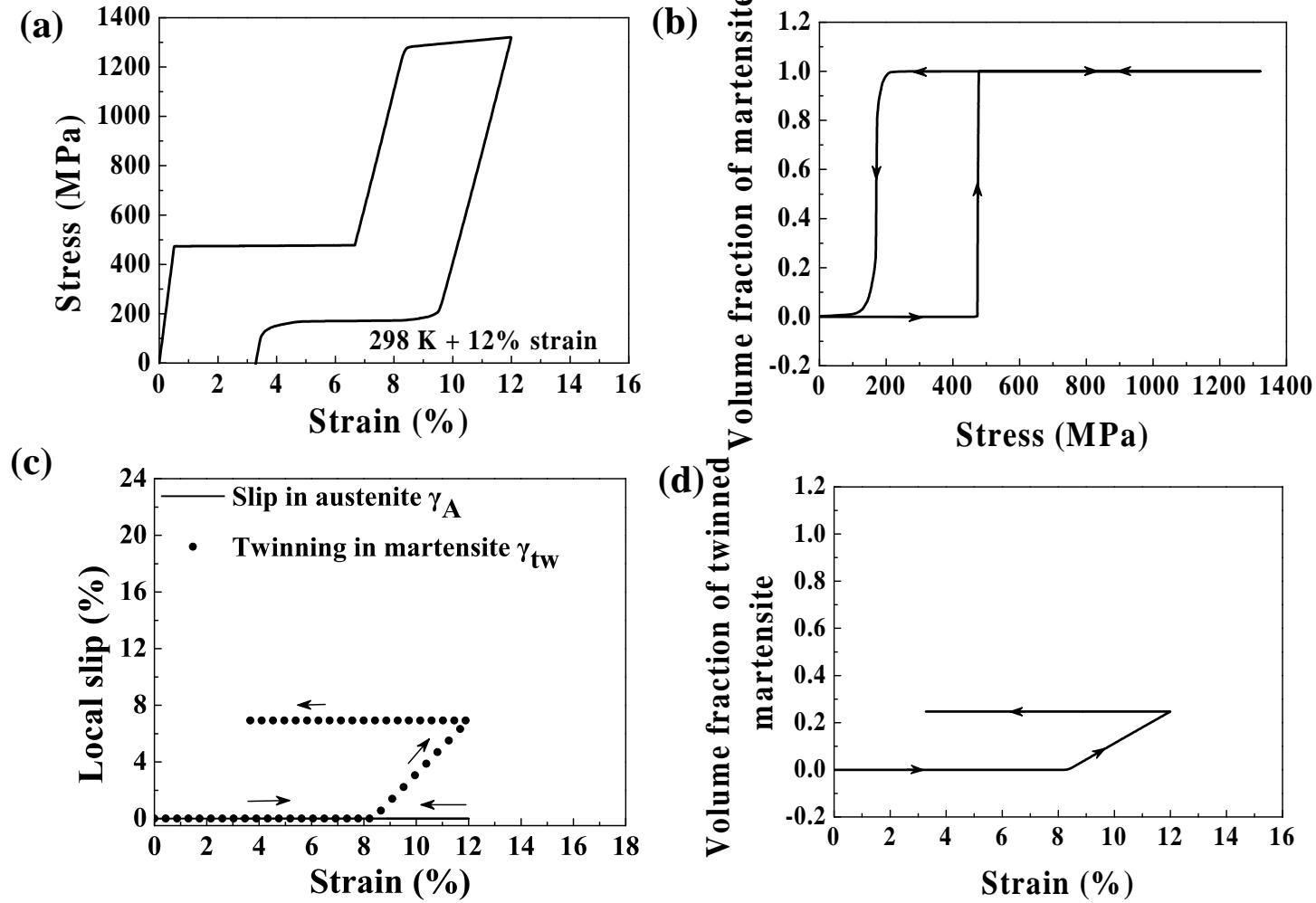
# Results

## ■ Deformation slip in austenite at high temperature



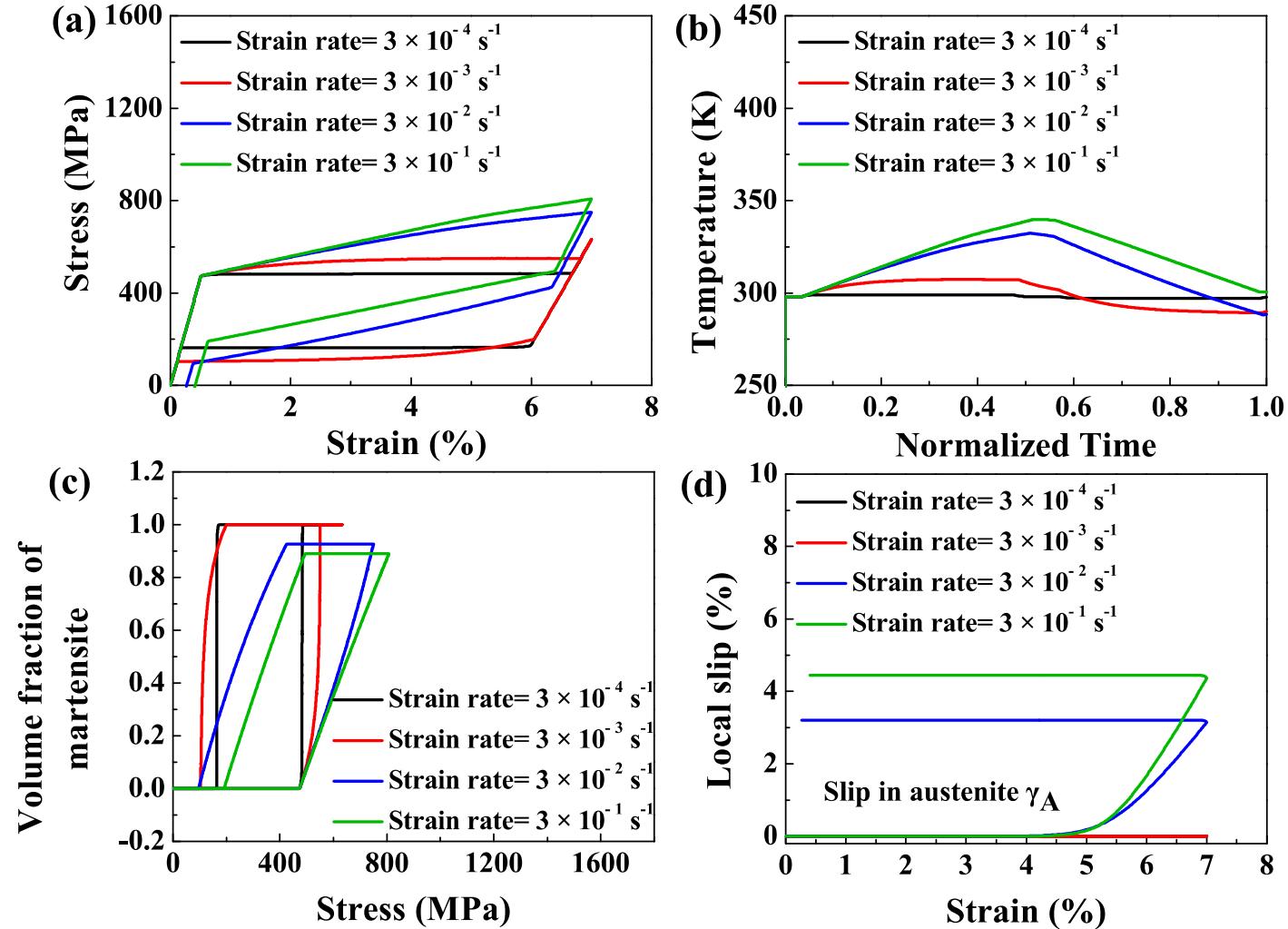
# Results

## ■ Deformation twinning in martensite at large strain



# Results

## ■ Thermomechanical coupling



# Results

## ■ Polycrystalline

